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Declaration Submitted

with Initial

Filing

DECLARATION FOR UTILITY OR DESIGN
PATENT APPLICATION
(37 CFR 1.63)

OR

□ Declaration

required)

Submitted after Initial

Filing (surcharge (37 CFR 1.16 (e))

PTO/SB/01 (12-97)
Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| Attorney Docket No. | _ | ENR100/4-15 | | | | | | |
|---------------------|-----|-------------------|--|--|--|--|--|--|
| Attorney Docket No. | | ENK100/4-15 | | | | | | |
| First Named Invento | r | Louise J. Kitchen | | | | | | |
| | СОМ | PLETE IF KNOWN | | | | | | |
| Application Number | 09 | /895,281 | | | | | | |
| Filing Date | 06/ | 29/2001 | | | | | | |
| Group Art Unit | | | | | | | | |
| Examiner Name | | | | | | | | |

| <u></u> | | | | | _ | | | | | | | |
|--|---|--------------------------------------|---------------------------|-----------------------------------|----------------------------|-----------------|--|--|--|--|--|--|
| As a below named inventor, I hereby declare that: | | | | | | | | | | | | |
| My residence, post office address, and citizenship are as stated below next to my name. | | | | | | | | | | | | |
| I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: | | | | | | | | | | | | |
| Single Party Buying and Selling Commodities with Multiple Counterparties | | | | | | | | | | | | |
| the specification of which (Title of the Invention) | | | | | | | | | | | | |
| is attached hereto OR | | | | | | | | | | | | |
| was filed on (MM/DD/YYYY) | | | | | | | | | | | | |
| Application Number 09/895, 2 | and was amended on (MN | VDD/YYYY) | | - <u>-</u> - | (If applicable) | , | | | | | | |
| I hereby state that I have reviewed and u any amendment specifically referred to a | nderstand the contents of the above ider | tified specificati | on, includ | ing the claims, | as amended b | у | | | | | | |
| I acknowledge the duty to disclose inform applications, material information which to international filing date of the continuation | nation which is material to patentability as became available between the filing date | defined in 37 C of the prior appl | FR 1.56, i lication ar | ncluding for ∞ id the national | ntinuation-in-pa or PCT | art | | | | | | |
| any PCT international application which desibelow, by checking the box, any foreign app | I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed. | | | | | | | | | | | |
| Prior Foreign Application Number(s) | Country | Foreign Filin | | Priority Not Claimed | Certified Copy Yes | Attached? No | | | | | | |
| | | | | | | | | | | | | |
| Additional foreign application numbers are liste | ed on a supplemental priority data sheet PTO/S | B/O2B attached he | ereto: | | | | | | | | | |
| I hereby claim the benefit under 35 U.S.C. 1 | 19(e) of any United States provisional ap | plication(s) liste | d below. | | | | | | | | | |
| Application Number(s) | Filing Date (MM/DD/Y | YY) | | Additional pro | ovisional applica | ation | | | | | | |
| 60/215,471 | 06/30/2000 | | _ | numbers are | listed on a | noot . | | | | | | |
| 60/218,473 | 07/14/2000 | | | | attached heret | | | | | | | |
| <u></u> | (Dogo 1 of 2) | | | | | | | | | | | |

[Page 1 of 3]





PTO/SB/01 (12-97)
Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DECLARATION — Utility or Design Patent Application

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| listed below manner pro- | and, insof | efit under 35 U.S.C. 120 of a ar as the subject matter of ϵ e first paragraph of 35 U.S.C | each of th 2. 112, I a | ne claims cknowled | of this applicati ge the duty to d | ion is isclose | not disa e inform | closed nation v | in the prior Unit which is material | ed State to pate | s of PCT inte | emational applic | ation in the |
| became ava | | een the filing date of the prior | | | national or PCT | intern | | <u> </u> | | ation. | D4 | 5.4.41 | |
| Ī | U.S. | Parent Application o Number | r PCT i | Parent | | | Parent Filing Date (MM/DD/YYYY) | | | | Parent Patent Number (if applicable) | | |
| TOTAL CONTROL | | | | | | | | | | | | а <i>ррио</i> ажю _ј | |
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| Addition | nal U.S. or | PCT international application | numbers : | are listed | on a supplemen | tal pric | ority dat | ta sheet | t PTO/SB/O2B a | tached | hereto. | | |
| As a name | d invento | r, I hereby appoint the foll | lowing re | egistered | practitioner(s |) to p | rosecu | ite this | application ar | nd to tra | ansact all bu | siness in the F | Patent |
| and Trade | mark Offic | e connected therewith: | Cust | tomer Nu | mber | - | | | | | | Place Customer | |
| | | | OR | | | | | | | | | lumber Bar Code Label here | 1 |
| - | | | Regi | | actitioner(s) nan | ne/regi: | stration | numbe | er listed below | | | Registra | ition |
| | | Name | | | umber | <u> </u> | | | Name | | | Numb | |
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| Addition | nal registere | ed practitioner(s) named on su | upplement | tal Regist | ered Practitioner | Inform | nation s | heet P | TO/SB/O2C attac | ched her | eto. | | |
| Direct all co | orrespond | ence to: Custome or Bar C | | | | | OR Correspondence address below | | | | | | |
| Name | Step | hen S. Hodgs | on | | | | | | | | | | |
| Address | Vins | on & Elkins | T., T., 1 | P. | | • | | | | | | | |
| Address | | Fannin St., | | | 300 | | | | | • | | | |
| | | | Jul | CC Z | 300 | - | \top | | Γ | T | 1 | | |
| City | Hous | ton | | | | | S | tate | Texas | ZIP | 7700 | 2-6760 | |
| Country | U.S. | Α | | | Telephone | (7 | 13) | 75 | 8-2604_ | Fax | (713 |) 615-5 | 730 |
| be true; an | d further | t all statements made her that these statements we th, under 18 U.S.C. 1001 | ere made | with th | e knowledge t | hat w | illful fa | ilse sta | atements and t | he like | so made ar | e punishable l | by fine or |
| Name of | Sole or I | First Inventor: | | | | | | A petit | ion has been f | iled for | this unsigne | d inventor | |
| | | Given Name (first and m | iddle [if a | any]) | | | | | - Fami | ly Nam | e or Suman | ne | |
| Louis | e J. | | | | | | Kit | che | n | | _ | | |
| Inventor's Signature | ; | Louise | a C | J.N | Steho | | | | | Date | | 9/24/01 | |
| Residence: | City | Houston | | Sta | | s | Coun | try | U.S.A. | ሥ | Citizenship | UK | |
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| Post Office | | 3 | | | | | | | | | | | |
| | - Mai C00 | Houston | | State | Texas | ZIF | , - | 7701 | 19 | Count | 11 9 | .A. | |
| City | anal inve- | | tho 1 | | 1. | | | | 1 | | - | | |
| | Jiai inven | tors are being named on | the 1 | L | supptemental | Additi | ionai li | nvento | or(s) sheet(s) P | TO/SB | /∪ZA attach | ea nereto. | |



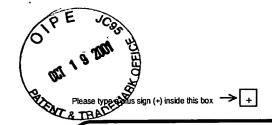
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DECLARATION

ADDITION INVENTOR(S) Supplemental Sheet
Page 1 of 1

| Name of Additio | Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor | | | | | | | | | | |
|-------------------------|--|-------|---------|-----------------------|------|----------------|----------------|-----------|-----------------|------------|--|
| | Given Name (first and middle [if | any]) | \perp | Family Name or Sumame | | | | | | | |
| Jay C. | | | | W | Webb | | | | | | |
| Inventor's Signature | 92U | | | | | | | - (ca) | Date | 9/14/01 | |
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| Post Office Address | | | | | | | | | | | |
| City | Houston | State | Т | exas | ZIP | 770 | 05 | Count | uny U.S | .A. | |
| Name of Addition | nal Joint Inventor, if any: | | | | | A pet | ition has been | filed for | this unsigne | d inventor | |
| | Given Name (first and middle [if a | ny]) | | • | | | Fam | nily Nam | e or Suman | e | |
| Marcello | | | | | R | Romano | | | | | |
| Inventor's Signature | | | _ | | | Date | | | | | |
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| Post Office Address | Hereford Road | | | | | | | | | | |
| City | London | State | | | ZIP | P W2 4NX Coun | | | try England, UK | | |
| Name of Addition | al Joint Inventor, if any: | | | | | A peti | tion has been | filed for | this unsigne | d inventor | |
| | Given Name (first and middle [if a | ny]) | | | | | Fam | ily Nam | e or Sumam | е | |
| | | | | | | | | | | | |
| tnventor's Signature | | | | | | | | | Date | | |
| Residence: City | | | Co | Country Citizenship | | | | | | | |
| Post Office Address | | | | | | | | | | | |
| Post Office Address | | | | | | | | | | | |
| City | | State | | | ZIP | | | Count | ry | | |





Declaration Submitted

PTO/SB/01 (12-97)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

| | | | | Attorney Docket No. | , | ENR100/4-15 |
|--|---|----|--|---------------------|----------|-------------------|
| DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION | | | | First Named Invento | r | Louise J. Kitchen |
| | | | | | COM | IPLETE IF KNOWN |
| (37 CFR 1.63) | | | Application Number | 09 | /895,281 | |
| _ | • | _ | • | Filing Date | 06/ | /29/2001 |
| Declaration Submitted | OR | ΔI | Declaration Submitted after Initial | Group Art Unit | | |
| with Initial Filing | Filing (surcharge (37 CFR 1.16 (e)) required) | | | Examiner Name | | |

| As a below named inventor, I hereby declare that: | | | | | | | | | | | |
|--|---|---|--|--|-------------------------------------|--|--|--|--|--|--|
| My residence, post office address, and citizenship are as stated below next to my name. | | | | | | | | | | | |
| I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: | | | | | | | | | | | |
| Single Party Buying and Selling Commodities with Multiple Counterparties | | | | | | | | | | | |
| the specification of which (Title of the Invention) | | | | | | | | | | | |
| is attached hereto OR | | | | | | | | | | | |
| was filed on (MM/DD/YYYY) | 06/29/2001 as Unite | ed States Application Num | ber or PCT Inte | rnational | | | | | | | |
| Application Number 09/895, | 281 and was amended on (M | M/DD/YYYY) | | (If applicable). | | | | | | | |
| any amendment specifically referred to | | | | | 9 | | | | | | |
| I acknowledge the duty to disclose informations, material information which international filing date of the continuation. | rmation which is material to patentability and became available between the filing date ion-in-part application. | us defined in 37 CFR 1.56, of the prior application at | , including for co | ontinuation-in-par or PCT | t | | | | | | |
| International filing date of the continuation-in-part application. Thereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed. | | | | | | | | | | | |
| any PCT international application which de below, by checking the box, any foreign ap | esignated at least one country other than to plication for patent or inventor's certificate | the United States of Ameri | ica, listed below | and have also id | lentified | | | | | | |
| any PCT international application which de below, by checking the box, any foreign ap | esignated at least one country other than to plication for patent or inventor's certificate | the United States of Ameri | ica, listed below | and have also id | lentified te before | | | | | | |
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| any PCT international application which depelow, by checking the box, any foreign application on which priority is c Prior Foreign Application Number(s) Additional foreign application numbers are | esignated at least one country other than to plication for patent or inventor's certificate claimed. Country listed on a supplemental priority data sheet PTC | the United States of Americe, or of any PCT internation Foreign Filing Date (MM/DD/YYYY) D/SB/O2B attached hereto: pplication(s) listed below. | Priority Not Claimed | and have also id naving a filing date of the control of the contro | entified te before | | | | | | |
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[Page 1 of 3]





PTO/SB/01 (12-97)
Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

DECLARATION — Utility or Design Patent Application

| I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the Unites States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States of PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application. | | | | | | | | | | | | | | |
|--|---|---|-------------------|------------|-------------------|--------|------------------------------------|----------|------------------|--------------------------------------|--------------|------|---|-------|
| U.S. Parent Application or PCT Parent Number | | | | | | | Parent Filing Date (MM/DD/YYYY) | | | Parent Patent Number (if applicable) | | | | |
| | | | | | | | | - | | | | | | |
| Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/O2B attached hereto. | | | | | | | | | | | | | | |
| As a name | d inventor | , I hereby appoint the followi | ng regis | tered | practitioner(s) | to p | rosec | ute this | application a | nd to tra | insact all t | usir | ness in the Patent | |
| and Trader | mark Offic | e connected therewith: | Custom OR Registe | | | ne/reo | nistrati | on numi | ber listed below | | - | | lace Customer Imber Bar Code Label here | |
| | | Name | | Regi | stration umber | Τ | | | Name | | | | Registration Number | |
| | | | | | | | | | | | | | | |
| Addition | Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/O2C attached hereto. | | | | | | | | | | | | | |
| Direct all o | orrespond | ence to: Customer N or Bar Code | | | - | | OR Correspondence address below | | | | | | | |
| Name | Step | hen S. Hodgson | ı | | | | | | | | | | | |
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| City | Hous | ton | | | | | | State | Texas | ZIF | 770 | 02 | -6760 | |
| Country | u.s. | Α. | | | Telephone | (7 | 13 |) 75 | 8-2604 | Fax | (71 | 3) | 615-573 | 0 |
| be true; an | d further | t all statements made herein that these statements were th, under 18 U.S.C. 1001 an | made wi | ith the | knowledge t | hat w | rillful ' | false st | tatements and | the like | so made | are | punishable by fir | ne or |
| Name of | Sole or | First Inventor: | | | | | | A peti | ition has beer | filed for | this unsig | ned | inventor | |
| | | Given Name (first and midd | le [if any | <u>(1)</u> | | | | | Fai | nily Nan | ne or Sum | ame | | |
| Louis | е Ј. | | | | | | Κi | tche | en | | | | | |
| Inventor's Signature | | | | | | | | | | | Date | | | |
| Residence: | City | Houston | | Stat | te Texa | s | Cou | ntry | U.S.A. | | Citizensi | ip | UK | |
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| Post Office | Address | | | | | | | | | | | | | |
| City | | Houston | S | State | Texas | ZI | Р | 770 | 19 | Coun | try U. | s | .A. | |
| Addition | onal inven | tors are being named on the | 1 | 8 | upplemental | Addit | tional | Invent | or(s) sheet(s) | PTO/SE | /O2A atta | chec | i hereto. | |



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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION

ADDITION INVENTOR(S)
Supplemental Sheet
Page 1 of 1

| Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor | | | | | | | | | | | |
|--|------------------------------------|-------|------------|---------|-----------------------|----------------|-----------|------------------|------------|--|--|
| _ | Given Name (first and middle [if a | ny]) | | | Family Name or Sumame | | | | | | |
| Jay C. | Webb | | | | | | | | | | |
| Inventor's Signature | | | | | | | Date | | | | |
| Residence: City | Houston | State | Texa | 5 C | ountry | U.S.A. | | Citizenship | U.S.A. | | |
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| Post Office Address | | | | | | | | | | | |
| City | Houston | State | Texas | ZIP | 770 | 05 | Count | ny U.S | .A. | | |
| Name of Addition | nal Joint Inventor, if any: | | | | A pet | ition has been | filed for | this unsigned | l inventor | | |
| | Given Name (first and middle [if a | ny]) | _ | | | Fan | nily Nam | e or Sumame | <u> </u> | | |
| Marcello | 4 | | ラ _ | R | omano |) | | | | | |
| inventor's Signature | Maria | | | | | _ | | Date | 15-10-01 | | |
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| Post Office Address | Hereford Road | | | | | | | | | | |
| City | London | State | | ZIP | W2 | 4NX | Count | ntry England, UK | | | |
| Name of Addition | nal Joint Inventor, if any: | | | [| A pet | ition has been | filed for | this unsigned | I inventor | | |
| | Given Name (first and middle [if a | ny]) | | \perp | | Fan | nily Nam | e or Sumame | 9 | | |
| | | | | | | _ | | | | | |
| inventor's Signature | | | | | | | | Date | | | |
| Residence: City | | State | , | С | ountry | | | Citizenship | | | |
| Post Office Address | | | | | | | | | | | |
| Post Office Address | | | | | | | | | | | |
| City | | State | | ZIP | | | Count | гу | | | |